

Taunton Deane Borough Council

Corporate Governance Committee – 17th September 2018

Corporate Governance Action Plan Update

This matter is the responsibility of Cllr Andrew Sully, Lead Member for Resources

Report Author: Richard Doyle, Corporate Strategy & Performance Officer

1 Purpose of the Report

- 1.1 This report provides an update of progress against the Annual Governance Statement Action Plan for 2018/19.

2 Recommendations

- 2.1 It is recommended that:-

- The committee Members are asked to note current progress in relation to completing the actions identified within the Annual Governance Statement.

3 Risk Assessment

Risk Matrix

Description	Likelihood	Impact	Overall
There is the general risk that if the Council fails to keep its controls and governance arrangements under review they could cease to be appropriate and lead to uncontrolled exposure to high level strategic and operational risks.	Feasible (3)	Major (4)	Medium (12)
<i>The mitigation for this will be for the Council to formally review the internal controls for governance of its affairs, identify opportunities for improvement and implement these.</i>	Unlikely (2)	Significant (3)	Low (6)

4 Background and Full details of the Report

- 4.1 The Annual Governance Statement (AGS) is a statutory document which provides assurance on the governance arrangements in place within the Council. The statement is produced following a review of the council's governance arrangements.

4.2 The AGS includes an action plan to address any new governance issues identified by the Corporate Governance Officers Group; relying on reports from internal and external audit as well as their own understanding of the organisation.

5 The Action Plan

5.1 The action plan is set out in Appendix A.

6 Links to Corporate Aims / Priorities

6.1 There are no direct links to corporate aims/priorities although good governance (of which risk management is a part) underpins good performance.

6 Finance / Resource Implications

6.1 None – this is a governance matter.

7 Legal Implications

7.1 Regulation 4 of The Accounts and Audit (England) Regulations 2011 requires that the Council must conduct a review at least once a year of the effectiveness of its systems of internal control and committee must approve an annual governance statement, prepared in accordance with proper practices in relation to internal control.

8 Environmental Impact Implications

8.1 There are no direct environmental risks within this report.

9 Safeguarding and/or Community Safety Implications

9.1 There are no safeguarding and /or community safety implications associated with this report.

10 Equality and Diversity Implications

10.1 There are no equality and diversity implications associated with this report.

11 Social Value Implications

11.1 There are no Social Value risks associated with this report.

12 Partnership Implications

12.1 There are no direct partnership implications associated with this report.

13 Health and Wellbeing Implications

13.1 There are no direct health and wellbeing risk associated with this report.

14 Asset Management Implications

14.1 Risk 5 identifies a risk in relation to asset management.

15 Consultation Implications

15.1 There are no Consultation implications associated with this report.

Democratic Path:

- Corporate Governance Committee - Yes
- Corporate Scrutiny – No
- Executive – No
- Full Council – No

Reporting Frequency: Twice yearly

List of Appendices

Appendix A	AGS Action Plan 2018/19
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Contact Officers

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Appendix A

Annual Governance Statement - Action Plan for 2018/19

	Action now planned for 2018/19	Timescale for Completion	Responsible Officer	Monitoring Body	Progress
1	To implement and develop our new Risk management culture.	March 2019	Head of Performance and Governance	Corporate Governance Officer Group	This has not yet started formally but will be taken forward when the new Head of Performance and Governance is appointed in October.
2	To implement the Corporate Governance process for the Shadow Council and the new transformed Council.	March 2019	S151 Officer & Assistant Chief Executive	Corporate Governance Officer Group	This is in progress and on track. At the inaugural meeting of the Shadow Council held on 7 June 2018 an Interim Constitution was adopted to provide a Corporate Governance Framework for the Shadow Council. Further elements to this framework will need to be added in relation to Finance, Contract and Employment issues. A Constitution and Governance Sub-group of the Shadow Council's New Council Working Group has been established to lead on work developing draft Corporate Governance and Constitutional arrangements for the new Council which are to be considered formally by the Shadow Council in late 2018 or early 2019.